



TRIAD
SECURITIES CORP.

Triad Customer Identification Program Notice

Triad Securities Corp. is committed to complying with U.S. statutory and regulatory requirements designed to combat money laundering and terrorist financing. The USA PATRIOT ACT requires that all financial institutions obtain certain identification documents or other information in order to comply with their customer identification procedures. What this means for you: when you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents. Until you provide the required information or documents, we may not be able to open an account or effect any transactions for you.